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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Raymond Yeh
Cornelius F.X. Kenney V

Serial No.: 10/027,788

Filed: December 20, 2001

For: FINANCIAL TRANSFER MODELING
EDITOR SYSTEM AND METHOD

Group Art Unit: 2161

Examiner: Unknown

Atty. Dkt. No.: ZERO:001US/ERN

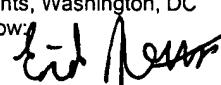
CERTIFICATE OF MAILING
37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below:

March 28, 2002

Date

Erik R. Nordstrom



**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)**

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated January 28, 2002, there are enclosed herewith:

- (a) Two Declarations executed on behalf of Raymond Yeh and Cornelius F.X. Kenney V;
- (b) Our check in the amount of \$435.00 to cover the basic filing fee (\$370.00 - utility small entity); surcharge for late filing (\$65.00); and

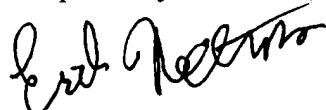
(c) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under
37 C.F.R. 1.53(b)-Filing Date Granted.

An Assignment to Zerotime Labs, L.L.C. and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10200917/ERN.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Erik R. Nordstrom
Reg. No. 39,702
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701
(512) 474-5201

Date: March 28, 2002



FULBRIGHT & JAWORSKI L.L.P.

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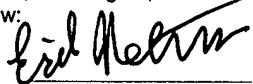
March 28, 2002

FILE: ZERO:001US

CERTIFICATE OF MAILING 37 C.F.R 1.8

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March 28, 2002


Erik R. Nordstrom

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Commissioner for Patents
Washington, DC 20231

RE: *U.S. Patent Application No. 10/027,788 entitled "FINANCIAL TRANSFER MODELING EDITOR SYSTEM AND METHOD" - Raymond Yeh and Cornelius F.X. Kenney V*

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed January 28, 2002;
- (2) Two Declarations;
- (3) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b)-Filing Date Granted;
- (4) Check in the amount of \$435.00; and
- (5) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

(3)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/027,788	12/20/2001	Raymond Yeh	ZERO:001US

Erik R. Nordstrom
 FULBRIGHT & JAWORSKI L.L.P.
 SUITE 2400
 600 CONGRESS AVENUE
 AUSTIN, TX 78701



CONFIRMATION NO. 9603
FORMALITIES LETTER



OC00000007380167

Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

*A copy of this notice **MUST** be returned with the reply.*

Z. H. Yeh

Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/09/2002 YPOLITE1 00000015 10027788

01 FC:201
 02 FC:205

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 65.00 0P

A REGISTERED LIMITED LIABILITY COMPANY
600 CONGRESS AVENUE, SUITE 2400
AUSTIN, TEXAS 78701



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